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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,547	08/08/2001	Andrew Grupe	ROCH008

CONFIRMATION NO. 4701

FORMALITIES LETTER



OC00000006906980

Bozicevic, Field and Francis LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025

Date Mailed: 10/15/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

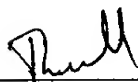
Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*



A handwritten signature in black ink, appearing to be 'D. Hall', is written above a horizontal line.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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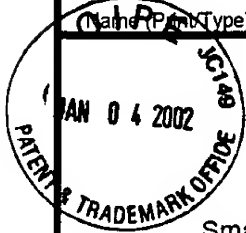
(Name and Title)

Cindy Hoang

Signature

Date

11-13-2001



TRANSMITTAL

☐ Small Entity

☒ Large Entity

Application Number 09/925,547

Confirmation Number 4701

Filing Date August 8, 2001

First Named Inventor SYZMKOWSKI

Examiner To Be Assigned

Group Art 1645

Attorney Docket No. ROCH007

ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR §

☐ Pages

Claims

No. of claims as
filed or after
amendment

Most claims
previously paid
for

Extra Claims

Rate

Totals

Total

Independent

Multiple

Total Extra Claim Fees

\$ -

\$ -

\$ -

☐ Applicants Petition for an
Extension of time from

to

A _ month extension was previously filed
and paid for thereby reducing the basic
fee

Fee

☐ Response to File Missing Parts (with copy of formalities letter)

☐ Filing Fee

☐ Executed Declaration

☒ Other

Pages

Surcharge Fee

Fee

Fee

Fee

Fee

Subtotal \$ -

☐ Information Disclosure Statement

☐ PTO Form 1449

☐ Copies of Cited References

☐ Other

Pages

Fee

Subtotal \$ -

☒ Response to Notice to Comply (with copy of Notice to Comply)

☒ Sequence Listing Certification

☒ Paper Copy of Sequence Listing

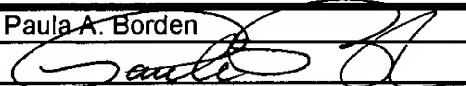
☒ Diskette in computer-readable format

☐ Other

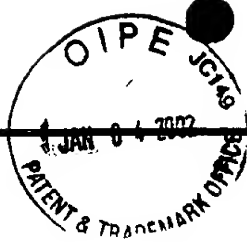
Pages

5

Fee

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
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<p>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</p>		
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED		
Name (Print/Type)	Paula A. Borden	Registration No. 42,344
Signature		Date 11-13-2001
Firm Name	Bozicevic, Field & Francis LLP	Address 200 Middlefield Road, Suite 200
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Telephone - Direct Dial	650-327-3400	Facsimile 650-327-3231

Application No. 09/925,547 Attorney Docket No. ROCH007 Page 2 of 2



#3

CERTIFICATION REGARDING SEQUENCE LISTING



Application Number	09/925,547
Confirmation Number	4701
Filing Date	August 8, 2001
First Named Inventor	SYZMKOWSKI
Examiner	To Be Assigned
Group Art	1645
Attorney Docket No.	ROCH007

ADDRESS TO: Assistant Commissioner for Patents
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Sir:

I hereby certify that the enclosed Sequence Listing is being submitted in paper copy and on a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

I hereby certify that the enclosed submission includes no new matter.

Signature				Date	11-13-2001
Firm Name	Bozicevic, Field & Francis LLP		Address	200 Middlefield Road, Suite 200	
City	Menlo Park	State	California	zip	94025
Telephone - Direct Dial	650-833-7710		Facsimile	650-327-3231	

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Name (Print/Type)	Cindy Hoang	Signature		Date	11-13-2001
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